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PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Application Nur	pplication Number 10/763,890		90		
			Filing Date		1/22/200	1/22/2004		
			First Named In	ventor	Adamsor	1		
			Art Unit		1745	1745		
			Examiner Name	Э .	Parsons,	Parsons, T.H.		
Total Number of Pages in This Submission 3		30	Attorney Docke	t Number	VT-2406			
ENCLOSURES (check all that apply)								
Fee Transmittal Form		☐ Drawin	Drawing(s)		After Allowance Communication to TC			
Fee Attached		Licensi	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment / Response		Petitlon			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition to Convert to a Provisional Application		Proprietary Information				
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status Letter				
Extension of Time Request		Termin	Terminal Disclaimer		Other Endosure(s) (please identify below):			
Express Abandonment Request		Request for Refund						
		CD, Number of CD(s)				•		
☐ Information Disclosure Statement		L Lar	Landscape Table on CD					
Certified Copy of Priority Document(s)		Remarks						
Reply to Missing Parts/ Incomplete Application								
Reply to Missing Parts								
under 37 CFR 1.52 or 1.53								
	SIGNA	TURE OF A	APPLICANT, AT	FORNEY, C	R AGENT			
Firm Name V	Firm Name Valence Technology, Inc.							
Signature	MIL							
Printed Name M	Michael Ross							
Date A	ugust			Reg. No45,057				
CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Typed or printed name Sharon Lango								
Signature · Shar		on Lango			Date	August 15, 2005		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chlef Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Line 1

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PTO/SB/17 (12-04v2)
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Effective on 12		Complete if Known								
Fees pursuant to the Consolidated App		Application Number	10/763,890							
FEE TRAN		Filing Date	1/22/2004	2/2004						
For FY	2005	First Named Inventor	Adamson							
Applicant claims small entity s	tatus. See 37 CFR 1 27	Examiner Name	Parsons, T.H.							
	- Art Unit	1745								
TOTAL AMOUNT OF PAYMENT (\$) \$100.00		Attorney Docket No.	VT-2406	6						
METHOD OF PAYMENT (check all that apply)										
Check Credit Card Money Order None Other (please identify):										
Deposit Account Deposit Account Number: 220100 Deposit Account Name: Valence Technology, Inc.										
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)										
✓ Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee										
Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments										
winder 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card										
Information and authorization on PTO-2038.										
FEE CALCULATION										
1. BASIC FILING, SEARCH, A										
	Small Entity	RCH FEES EXAI Small Entity	MINATION FEES Small Entity							
Application Type Fee (\$) <u>Fee (\$) Fee (</u>	\$) Fee (\$) Fee	(\$) Fee (\$)	Fees Paid (\$)						
Utility 300	150 500	250 20								
Design 200	100 100	50 13								
Plant 200	100 300	150 16	0 80							
Reissue 300	150 500	250 60	0 300							
Provisional 200	100 0	0	0 0							
2. EXCESS CLAIM FEES Fee Description			Fee (\$)	Small Entity Fee (\$)						
Each claim over 20 (includir	ng Reissues)		50	25						
Each independent claim over	r 3 (including Reissues)		200 ·	100						
Multiple dependent claims			360	180						
<u>Total Claims</u> <u>Extra (</u> - 20 or HP = · 4		e Paid (\$) 6100.00		ependent Claims						
HP = highest number of total claims pa		1100.00	Fee (\$)	Fee Pald (\$)						
Indep. Claims Extra C		e Paid (\$)								
- 3 or HP = x = x = HP = highest number of independent claims paid for, if greater than 3.										
3. APPLICATION SIZE FEE										
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer										
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 shorts as fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.14(a)										
sheets or fraction thereof: See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)										
100 = / 50 = (round up to a whole number) x =										
4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount)										
Other (e.g., late filing surcharge):										
Onici (0.6.) and Hillig Sulvitai 60).										
SUBMITTED BY	<u> </u>									
Signature VVV		Registration No. 45,057 (Attorney/Agent)	Telephoi	^{ne} 702-558-1071						
Name (Print/Type) Michael Ross			Date 8/1	5/2005						

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the inclividual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tradsmark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ORENAND OREINAND

AUG 1 5 2005

Attorney Docket: VT-2406

PATENT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application No.:

10/763,890

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark

Office central fax number, Fax No. (571) 273-8300, on

Filing Date:

1/22/2004

August 15, 2005

Appellant:

Adamson et al.

Group Art Unit:

1745

Examiner:

Parsons, T.H.

Title:

SECONDARY BATTERY ELECTRODE ACTIVE MATERIALS

AND METHODS FOR MAKING THE SAME

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT UNDER 37 C.F.R. §1.111

Dear Sir:

If any charges or fees must be paid in connection with the following communication, they may be paid out of our Deposit Account No. 220100.

In response to the Office Action mailed May 20, 2005, please consider the accompanying remarks toward reconsideration of the present Application and passage to allowance thereof.

Amendments to the Specification begin on Page 2 of this Amendment.

Amendments to the Claims are reflected in the Listing of Claims, which begins on Page 3 of this Amendment.

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Serial No. 10/763,890